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10269-11CIP
(HSX/001 CIP2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Timothy Maxwell Keiser, et al.
Application No. : 09/382,907 Confirmation No. : 5840
Filed : August 25, 1999
For : COMPUTER-IMPLEMENTED SECURITIES
TRADING SYSTEM WITH A VIRTUAL
SPECIALIST FUNCTION
Group Art Unit : 3623
Examiner : Akiba K. Robinson Boyce

New York, New York 10020
June 1, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants hereby make the following documents of record in
the above-identified patent application:

Other Documents

FILM FINANCES, INC. The World Leader in Completion
Guarantees. October, 1999 Internet print-out

FILM FINANCES, INC. The World Leader in Completion
Guarantees. Sample Completion Guaranty; August, 2000
Internet print-out

Copies of the documents are provided.

06/04/2004 HGUETW1 00000001 09382907
01 FC:1806
180.00 DP

This Statement is being submitted after the mailing of a First Office Action on the merits. Accordingly, applicants have enclosed a check in the amount of \$180.00 in payment of the fee for submission of an Information Disclosure Statement in accordance with 37 C.F.R. § 1.97 (c) (2). The Director is hereby authorized to charge payment of any additional fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application.

Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

A duplicate copy of this Supplemental Information Disclosure Statement is enclosed herewith.

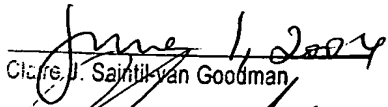
Consideration of the foregoing in relation to this patent application is respectfully requested.

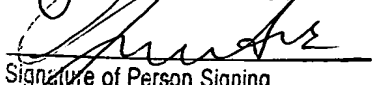
Respectfully submitted,


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I hereby certify that this
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Claire J. Saintilvan Goodman


Signature of Person Signing

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 10269-11CIP (HSX/001CIP2)	APPLN. NO. 09/382,907
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Timothy Maxwell Keiser, et al.	CONF. NO. 5840
		FILING DATE August 25, 1999	GROUP ART UNIT 3623



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	FILM FINANCES, INC. The World Leader in Completion Guarantees. October, 1999 Internet print-out
	FILM FINANCES, INC. The World Leader in Completion Guarantees. Sample Completion Guaranty; August, 2000 Internet print-out

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.